



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/273,098	03/19/99	TESSIER-LAVIGNE	M UC97-244-2

RICHARD ARON OSMAN  
SCIENCE & TECHNOLOGY LAW GROUP  
75 DENISE DR  
HILLSBOROUGH CA 94010

0262/0409

NOT ASSIGNED

1651

DATE MAILED:

04/09/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$\_\_\_\_\_.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 7600.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), less previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/14/1999 MAIL ROOM 00000039 09273098  
308-1202  
01 FEB 2005  
GPO: 1998-446-824

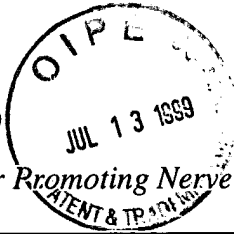
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tessier-Lavigne et al.

Serial No. 09/273,098

Filed: March 19, 1999

For: *Compositions for Promoting Nerve  
Regeneration*



Group Art Unit: 1651

Examiner: N/A

Attorney Docket No. UC99-244-2

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on July 9, 1999

Signed

Rebecca Graff

RESPONSE TO NOTICE TO FILE MISSING PARTS

The Assistant Commissioner for Patents  
Washington, DC 20231

Dear Commissioner:

In response to the Notice to File Missing Parts mailed April 9, 1999, enclosed please find:

Copy of Notice (1p); Inventor's declaration (2p); Small Entity Declaration (1p); Power of Attorney (1p); Assignments and Recordation Cover sheets (8p); Preliminary amendment (1p); check no.2118 for \$580.00 (380 +65 + 80 +55); return postcard.

Applicants hereby petition for any necessary extension of time pursuant to 37 CFR 1.136(a). The Commissioner is hereby authorized to charge any other fees or credit any overcharges associated with this communication to our Deposit Account No. 19-0750 (order no. B99-244-2).

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., Reg. No. 36,627  
Telephone: (650) 343-4341  
Fax: (650)343-4342

07/14/1999 MAILING 00000039 09273098

03 FC:215

55.00 OP